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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/804,629
		Filing Date	March 19, 2004
		First Named Inventor	Lucey
		Group Art Unit	1621
		Examiner Name	To Be Assigned
Total Number of Pages in This Submission	6 pages	Attorney Docket Number	19226/2291 (R-5824, R-5875, R-5881)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> A copy of the Notice to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input checked="" type="checkbox"/> A self-addressed, prepaid postcard for acknowledging receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input checked="" type="checkbox"/> PTO/SB/08A Form (in duplicate) <input checked="" type="checkbox"/> Copy of International Search Report
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Alice Y. Choi Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1508 Fax: (585) 263-1600
Signature	<i>Alice Y. Choi</i> Registration No. 45,758
Date	<i>January 24, 2006</i>

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<input checked="" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450	
<input type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____	
<i>1/24/2006</i> Date	<i>Mary Burke</i> Signature Mary Burke Typed or printed name



PATENT  
Docket No.: 19226/2291 (R-5824, R-5875, R-5881)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lucey et al.

Serial No. : 10/804,629

Cnfrm. No. : 7869

Filed : March 19, 2004

For : PROCESS FOR PRODUCING  
SEMICONDUCTOR NANOCRYSTAL CORES,  
CORE-SHELL, CORE-BUFFER-SHELL, AND  
MULTIPLE LAYER SYSTEMS IN A NON-  
COORDINATING SOLVENT UTILIZING *IN*  
*SITU* SURFACTANT GENERATION

)  
) Examiner:  
) To Be Assigned

)  
) Art Unit:  
) 1621

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97-1.98

**Mail Stop: Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the references listed on the attached PTO/SB/08A form.

The pertinence of these references is indicated in the International Search Report (copy enclosed) for the corresponding PCT patent application. The other reference listed in the accompanying search report is already of record and, therefore, not listed on the attached PTO/SB/08A form. Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of the cited U.S. patent and U.S. patent application publication (i.e., Reference Cite Nos. 1-2) are not enclosed.

Pursuant to 37 CFR § 1.97(b)(3), no fee is required. Should it be determined that a fee is required, however, the Commissioner is authorized to charge that fee to Deposit Account No. 14-1138.

Respectfully submitted,

Date: January 24, 2006

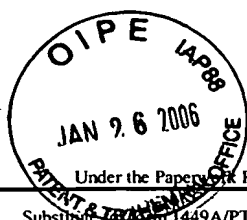
Alice Y. Choi  
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Registration No. 45,758

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Clinton Square, P.O. Box 31051  
Rochester, New York 14603-1051  
Telephone: (585) 263-1508  
Facsimile: (585) 263-1600

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Date: 1/24/06 Mary Burke  
Mary Burke



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/804,629
				Filing Date	March 19, 2004
				First Named Inventor	Lucey
				Art Unit	1621
Sheet	1	of	1	Examiner Name	To Be Assigned
				Attorney Docket Number	19226/2291 (R-5824, R-5875, R-5881)

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>3</sup> (if known)			
	1	US-2002/0066401 A1	06-06-2002	Peng et al.	
	2	US-6,911,082	06-28-2005	Sato et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-01)

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
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				<b>Filing Date</b>	March 19, 2004
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				<b>Art Unit</b>	1621
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				<b>Attorney Docket Number</b>	19226/2291 (R-5824, R-5875, R-5881)
<b>Sheet</b>	1	<b>of</b>	1		

<b>U.S. PATENT DOCUMENTS</b>					
<b>Examiner Initials*</b>	<b>Cite No.<sup>1</sup></b>	<b>U.S. Patent Document</b>	<b>Publication Date MM-DD-YYYY</b>	<b>Name of Patentee or Applicant of Cited Document</b>	<b>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear</b>
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<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initials*</b>	<b>Cite No.<sup>1</sup></b>	<b>Foreign Patent Document</b>	<b>Publication Date MM-DD-YYYY</b>	<b>Name of Patentee or Applicant of Cited Document</b>	<b>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear</b>	<b>T<sup>6</sup></b>
		<b>Country Code<sup>3</sup> Number<sup>4</sup> Kind Code<sup>5</sup> (if known)</b>				

<b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials*</b>	<b>Cite No.<sup>1</sup></b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</b>	<b>T<sup>2</sup></b>

<b>Examiner Signature</b>		<b>Date Considered</b>	
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